

## **General Disclaimer**

### **One or more of the Following Statements may affect this Document**

- This document has been reproduced from the best copy furnished by the organizational source. It is being released in the interest of making available as much information as possible.
- This document may contain data, which exceeds the sheet parameters. It was furnished in this condition by the organizational source and is the best copy available.
- This document may contain tone-on-tone or color graphs, charts and/or pictures, which have been reproduced in black and white.
- This document is paginated as submitted by the original source.
- Portions of this document are not fully legible due to the historical nature of some of the material. However, it is the best reproduction available from the original submission.

(NASA-TM-85110) DETERMINING THE ALPHA  
DYNAMO PARAMETER IN INCOMPRESSIBLE  
HOMOGENEOUS MAGNETOHYDRODYNAMIC TURBULENCE  
(NASA) 23 p HC A02/MF A01 CSCL 20I

N84-11936

Unclass  
G3/75 42437



Technical Memorandum 85110

# Determining the Alpha Dynamo Parameter in Incompressible Homogeneous Magnetohydrodynamic Turbulence

W. H. Matthaeus, M. L. Goldstein and  
S. R. Lantz

OCTOBER 1983



National Aeronautics and  
Space Administration

**Goddard Space Flight Center**  
Greenbelt, Maryland 20771

DETERMINING THE ALPHA DYNAMO PARAMETER IN INCOMPRESSIBLE  
HOMOGENEOUS MAGNETOHYDRODYNAMIC TURBULENCE

W. H. Matthaeus

M. L. Goldstein

Laboratory for Extraterrestrial Physics  
Code 692, NASA/Godddard Space Flight Center  
Greenbelt, MD 20771

and

S. R. Lantz

Department of Physics and Astronomy  
University of Maryland  
College Park, MD 20742

### Abstract

We show that  $\alpha$ , an important parameter in dynamo theory, can be proportional to either the kinetic, current, magnetic, or velocity helicity of the fluctuating magnetic field and fluctuating velocity field. The particular helicity to which  $\alpha$  is proportional depends on the assumptions used in deriving the first order smoothed equations that describe the  $\alpha$  effect. In two cases, viz. when  $\alpha$  is proportional to either the magnetic helicity or velocity helicity,  $\alpha$  can be determined experimentally from two-point measurements of the fluctuating fields in incompressible, homogeneous turbulence having arbitrary symmetry. For the other two possibilities,  $\alpha$  can be determined if the turbulence is isotropic.

## 1. Introduction

A fundamental problem in dynamo theory is the study of how nature transforms toroidal magnetic fields into poloidal ones. The inverse transformation, changing poloidal fields into toroidal ones, can be easily accomplished by differential rotation. This problem has been extensively studied for the kinematic turbulent dynamo where one does not impose self-consistency on the velocity and magnetic fields, but assumes the statistics of one is known, usually the velocity field, and solves for the other in terms of the first. Parker (1955) and Steenbeck, Krause and Rädler (1966) developed a dynamo theory in this context that is now known as the "alpha effect". The essence of the theory is to show that under certain conditions involving a lack of mirror symmetry in the turbulence, there is an electromotive force that is proportional to the mean magnetic field  $\underline{B}_0$ . From Ohm's law there will then be a similar term in the current density that produces a poloidal component to the magnetic field. The alpha effect is the lowest order approximation in a more general framework, mean field electrodynamics, in which the electromotive force due to fluctuations is represented as a series expansion in powers of the mean field.

For  $\alpha$ , the constant of proportionality between the electromotive force  $\underline{E}$  and  $\underline{B}_0$ , to be nonzero, some feature of the turbulence must lack mirror symmetry. In the usual derivation of the alpha effect (Steenbeck, Krause, and Rädler, 1966; Moffatt, 1978),  $\alpha$  is related to a weighted integral of the kinetic helicity spectrum and hence appears to be dependent on a lack of mirror symmetry of the fluctuating velocity field  $\underline{v}$ . Recently, Keinigs (1983) has rederived  $\alpha$  and has argued that instead of being a function of the kinetic helicity spectrum,  $\alpha$  is actually proportional to the total current helicity.

Thus, it would appear that nonzero values of  $\alpha$  are possible even if the weighted integral of the kinetic helicity spectrum is zero but the current helicity is finite. In this paper we first show that  $\alpha$  can be proportional to any of four helicities of the turbulence depending on the assumptions used in deriving the alpha effect. We then address the outstanding question raised by Moffatt (1981) of how one can determine  $\alpha$  experimentally.

The paper is organized as follows. In section 2 we review the standard derivation as presented by Moffatt (1978). We find that the physical nature of the asymmetries in the turbulence can be understood in terms of a pseudo-scalar  $H_v$  which characterizes the velocity field and is mathematically analogous to the magnetic helicity  $H_m$ . We show that  $\alpha$  is directly proportional to this function, which we call the "velocity helicity". We then review the derivation of the alpha effect as presented by Keinigs (1983) and discuss the conditions under which  $\alpha$  is proportional to the current helicity  $H_j$ . We conclude with two new derivations of  $\alpha$  made under slightly different assumptions about the statistics of the turbulence and find that  $\alpha$  can be proportional to either the kinetic helicity  $H_k$  or the magnetic helicity. In section 3 we address the question of the measurability of  $\alpha$  in homogeneous turbulence in circumstances in which an experiment provides two-point field covariances with collinear separations. The work of Matthaeus et al. (1982) and Matthaeus and Goldstein (1982) demonstrating the measurability of  $H_m$  in homogeneous turbulence of arbitrary symmetry is generalized. In the two cases in which  $\alpha$  is proportional to either  $H_m$  or  $H_v$ , we show that  $\alpha$  can be determined independent of the symmetry of the turbulence if the dissipation coefficients are known. Where  $\alpha$  is proportional to either  $H_j$  or  $H_k$ , we show that the assumption of isotropy is sufficient to permit determination of  $\alpha$ , again subject to knowing the appropriate dissipation coefficient. The results are summarized in section 4.

## 2. Helicity and the Alpha Dynamo Problem

The steps leading to the alpha effect equation are well-documented (Moffatt, 1978; Krause and Rädler, 1980). We present a review here to demonstrate explicitly the relationship between the assumptions contained in derivations of the alpha effect equation and the nature of the asymmetries that must be present in the turbulent fields (either  $\underline{v}$  or  $\underline{b}$ ) to ensure nonzero values of the alpha effect parameter.

We begin by considering a turbulent, electrically conducting fluid, which is described by the equations of incompressible magnetohydrodynamics (MHD):

$$\partial \underline{B} / \partial t = \nabla \times (\underline{V} \times \underline{B}) + \eta \nabla^2 \underline{B} \quad (1a)$$

$$\nabla \cdot \underline{B} = 0 \quad (1b)$$

$$\partial \underline{V} / \partial t + (\underline{V} \cdot \nabla) \underline{V} = -\nabla P + (\underline{J} \times \underline{B}) + \nu \nabla^2 \underline{V} \quad (2a)$$

$$\nabla \cdot \underline{V} = 0 \quad (2b)$$

where  $\underline{B}$  is now normalized to Alfvén speed units [ $\underline{B} \rightarrow \underline{B} / \sqrt{4\pi\rho_0}$ ],  $\rho_0$  is the mean density,  $P$  is the pressure which in incompressible MHD is the solution of a Poisson equation derivable from (2), and  $\underline{J} = \nabla \times \underline{B}$  is the electric current density. Both the resistivity  $\eta$  and the viscosity  $\nu$  have dimensions of (length)<sup>2</sup>/time as is typical of transport coefficients. The MHD equations (1-2) can be related in a straightforward way to a system of dimensionless units in which  $\eta$  and  $\nu$  play the role of inverse magnetic Reynolds number and

inverse mechanical Reynolds number, respectively. It is useful to rewrite (2) in terms of the vorticity  $\underline{\omega} = \nabla \times \underline{V}$  by taking the curl of (2a) yielding

$$\partial \underline{\omega} / \partial t = \nabla \times (\underline{J} \times \underline{B}) - \nabla \times (\underline{\omega} \times \underline{V}) + \nu \nabla^2 \underline{\omega} \quad (3)$$

which completely specifies the time evolution of  $\underline{V}$  when (2b) is valid and there is no contribution due to potential flow.

To continue, we separate the fields  $\underline{B}$  and  $\underline{V}$  and the induction equation into mean and fluctuating parts. We follow Krause and Rädler (1980) and treat the mean as the expectation of an ensemble of identical systems. There are occasions when it may be more convenient to define the mean values in terms of integrations over space and/or time, but the results will not always be identical. We first separate  $\underline{B}$  and  $\underline{V}$  into mean and fluctuating parts:  $\underline{B} = \underline{B}_0 + \underline{b}$  and  $\underline{V} = \underline{V}_0 + \underline{v}$ . We assume that  $\underline{V}_0 \equiv \langle \underline{V} \rangle = 0$  and that  $\underline{B}_0 \equiv \langle \underline{B} \rangle$  is in some sense "slowly varying" in time and space, i.e., that its spatial and time derivatives are negligible compared to the derivatives of  $\underline{b}(\underline{x}, t)$ . The mean and fluctuating parts of the induction equation (1a) become

$$\partial \underline{B}_0 / \partial t = \nabla \times \langle \underline{v} \times \underline{b} \rangle + \eta \nabla^2 \underline{B}_0 \quad (4)$$

$$\partial \underline{b} / \partial t = \nabla \times (\underline{v} \times \underline{B}_0) + \Delta [\nabla \times (\underline{v} \times \underline{b})] + \eta \nabla^2 \underline{b} \quad (5)$$

where  $\Delta$  denotes the difference between the quantity in brackets and its mean. For future reference we note that the vorticity equation can be similarly rewritten in the form

$$0 = \nabla \times \langle \underline{J} \times \underline{b} \rangle - \nabla \times \langle \underline{\omega} \times \underline{v} \rangle \quad (6)$$

$$\partial \underline{\omega} / \partial t = \nabla \times (\underline{J} \times \underline{B}_0) + \Delta [\nabla \times (\underline{J} \times \underline{b})] - \Delta [\nabla \times (\underline{\omega} \times \underline{v})] + \nu \nabla^2 \underline{\omega} \quad (7)$$

Return now to equation (5) and note that  $\underline{b}$  is generated by the source term  $\underline{v} \times \underline{B}_0$ . Because (5) is a linear equation for  $\underline{b}$ ,  $\underline{b}$  and  $\underline{B}_0$  will be linearly related if, for example, we assume that  $\underline{b} = 0$  at some initial time. Thus, the mean turbulent electromotive force  $\underline{E} = \langle \underline{v} \times \underline{b} \rangle$  is also a linear functional of  $\underline{B}_0$ . In turbulent media, the fluctuating quantities at a certain place and time will have a finite correlation with fluctuating quantities at some other place and time only if the separation with respect to both space and time is not too large. Thus, to determine  $\underline{E}$  at a given point, we anticipate that  $\underline{v}$ ,  $\underline{b}$ , and  $\underline{B}_0$  need only be known in a neighborhood of that point. The linear relationship between  $\underline{E}$  and  $\underline{B}_0$  should then be given approximately by a Taylor series expansion which converges rapidly because  $\underline{B}_0$  weakly varies on scales over which  $\underline{B}_0$  and  $\underline{b}$  are correlated. The lowest order term in this expansion is

$$E_i = \alpha_{ij} B_{0j} \quad (8)$$

The reader is referred to Moffatt (1978) and Krause and Rädler (1980) and references therein for a more complete discussion of these issues. In isotropic turbulence  $\alpha_{ij} = \alpha \delta_{ij}$ , and equation (4) becomes the alpha effect equation

$$\partial \underline{B}_0 / \partial t = \alpha (\nabla \times \underline{B}_0) + \eta \nabla^2 \underline{B}_0 \quad (9)$$

## The Velocity Helicity, $H_v$

In the usual approach, the fluctuating part (5) of the induction equation is used to relate  $\underline{v}$  and  $\underline{b}$ . But instead of arriving at an expression for  $\alpha$  as a weighted integral of the kinetic helicity spectrum (Moffatt, 1978), we will show that a new helicity can be defined, the velocity helicity  $H_v$ , which is proportional to  $\alpha$ . Further advantages of introducing  $H_v$  are detailed in section 3. The derivation proceeds in the usual way by making the assumption of "first-order smoothing" (equivalent to a quasilinear approximation), which states that the difficult nonlinear term  $\Delta[\nabla \times (\underline{v} \times \underline{b})]$  may be dropped. A detailed discussion of the physical regimes in which the neglect of these nonlinear terms is justified can be found in Moffatt (1978) and Krause and Rädler (1980). Circumstances that appear to be sufficient for first order smoothing are the low magnetic Reynolds number and the "weak turbulence" limits. In this paper, we will assume that first-order smoothing is always appropriate.

Upon expanding the remaining double cross product, equation (5) becomes

$$\frac{\partial \underline{b}}{\partial t} - \eta \nabla^2 \underline{b} = (\underline{B}_0 \cdot \nabla) \underline{v} \quad (10)$$

The relationship between the fluctuating magnetic field  $\underline{b}$  and the fluctuating velocity field  $\underline{v}$  is easily expressed by taking the Fourier transform of (10)

$$\underline{b}(\underline{k}, \omega) = i(\underline{B}_0 \cdot \underline{k}) \underline{v}(\underline{k}, \omega) / (-i\omega + \eta k^2) \quad (11)$$

Note that at this stage we could equally well have written of  $\underline{v}(\underline{k}, \omega)$  in terms of  $\underline{b}(\underline{k}, \omega)$ . Substituting (11) into the Fourier integral expansion of  $\underline{E}$  gives

$$\underline{E} = \int \frac{i \underline{B}_0 \cdot \underline{k}'}{\eta k'^2} \langle \underline{v}^*(\underline{k}, \omega) \times \underline{v}(\underline{k}', \omega') \rangle e^{[-i(\underline{k}-\underline{k}') \cdot \underline{x} + i(\omega-\omega')t]} d^3k d^3k' d\omega d\omega' \quad (12)$$

We restrict our attention to homogeneous turbulence and take the usual low frequency limit (cf. Moffatt, 1978) which can be justified at least in the small magnetic Reynolds number regime where  $|\omega| \ll \eta k^2$ . In this limit the integrals over  $\omega$  and  $\omega'$  can be done (assuming that the fluctuations are time stationary). We now introduce the energy spectrum tensor  $\phi_{ij}(\underline{k})$ , defined as the Fourier transform of the homogeneous two-point velocity correlation matrix  $R_{ij}(\underline{x}) = \langle v_i(\underline{x}) v_j(\underline{x} + \underline{r}) \rangle$  (Batchelor, 1970). Because  $\phi_{ij}(\underline{k}) \delta(\underline{k}-\underline{k}') = \langle v_i^*(\underline{k}) v_j(\underline{k}') \rangle$ , equation (12) becomes

$$E_i = \int \frac{i \underline{B}_0 \cdot \underline{k}}{\eta k^2} \epsilon_{ilm} \phi_{lm}(\underline{k}) d^3k \quad (13)$$

The matrix  $\phi_{lm}$  can always be decomposed into its symmetric part  $\phi_{lm}^s$  and antisymmetric part  $\phi_{lm}^a$ . Because  $\epsilon_{ilm} \phi_{lm}^s = 0$ ,  $E_i$  depends only on  $\phi_{lm}^a$ . Matthaeus et al. (1982) and Matthaeus and Goldstein (1982) have shown that for a solenoidal field, the antisymmetric part of the energy spectrum tensor can have only one independent pseudotensor form which depends on a single pseudoscalar function even in  $\underline{k}$ . In the case of the magnetic power spectrum, this function is the magnetic helicity spectrum  $H_m(\underline{k})$  defined by

$$\int H_m(\underline{k}) d^3k = H_m = \langle \underline{A} \cdot \underline{b} \rangle \quad (14)$$

where  $\underline{A}$  is the vector potential of the fluctuating magnetic field. In incompressible flow, the velocity field is solenoidal and it is convenient to define a similar function for the velocity field. We will refer to this

function as the velocity helicity  $H_v$  and denote its spectral decomposition  $H_v(\underline{k})$ . The velocity helicity as used here is essentially the dot product of  $\underline{v}$  with a vector whose curl is  $\underline{v}$  in the same way that the magnetic helicity is the dot product of the  $\underline{b}$  with the vector potential  $\underline{A}$ , where  $\nabla \times \underline{A} = \underline{b}$ .

The exact relationship between  $\phi_{ln}^a(\underline{k})$  and  $H_v(\underline{k})$  can be found as follows. First, one can "uncurl" in Fourier space so that

$$H_v(\underline{k}) = \text{trace} [i\epsilon_{ijl} k_j \phi_{lm}^a(\underline{k})/k^2] \quad (15)$$

The unique form of  $\phi_{lm}^a$  is (cf. Matthaeus and Goldstein, 1982)

$$\phi_{lm}^a = (i/2)\epsilon_{lmn} k_n H_v(\underline{k}) \quad (16)$$

This can in turn be inserted into (13), so that

$$E_1 = -B_0 \int \frac{k_i k_j}{\eta k^2} H_v(\underline{k}) d^3k \quad (17)$$

We define  $\alpha = 1/3 \alpha_{ii}$  to be consistent with the isotropic condition  $\alpha_{ij} = \alpha \delta_{ij}$ . Then, from (8) and (17), we arrive at a simple expression for  $\alpha_1$  (our first expression for  $\alpha$ ) that is equivalent to the one found in Krause and Rädler (1980) and Moffatt (1978).

$$\alpha_1 = -\frac{1}{3\eta} \int H_v(\underline{k}) d^3k = -H_v/(3\eta) \quad (18)$$

If  $H_v(\underline{k})$  in (18) is replaced by (15), Moffatt's expression for  $\alpha$  is recovered. Written in this way, it is clear that  $\alpha$  is related to the linkage of stream lines in the same way that the kinetic helicity reflects the linkage of

vorticity tubes and the magnetic helicity reflects linkage of magnetic flux tubes (Moffatt, 1978; Turner and Christiansen, 1981).

### The Current Helicity, $H_J$

The approach used above in evaluating alpha is not the only one that can be taken. Recall that we could have solved for  $\underline{v}(\underline{k}, \omega)$  in terms of  $\underline{b}(\underline{k}, \omega)$  in (11). This is the approach taken by Keinigs (1983). Proceeding in that way leads to a new form for  $\alpha$  which is related to the current helicity,  $H_J = \langle \underline{J} \cdot \underline{b} \rangle$ . We briefly review the derivation for completeness and to clarify some aspects of the derivation given by Keinigs. In the low frequency limit, the equation for  $E_1$  becomes

$$E_1 = \int \frac{i \eta k^2}{B_0 j k_j} \epsilon_{ilm} S_{lm}(\underline{k}) d^3k \quad (19)$$

Here, the relevant spectrum tensor is  $S_{lm}(\underline{k})$ , which is the Fourier transform of the two-point magnetic correlation  $\langle b_l(\underline{x}) b_m(\underline{x} + \underline{r}) \rangle$ . The antisymmetric part of this tensor has the unique form  $S_{ij}^a = i \epsilon_{ijl} k_l H_m(\underline{k})/2$  (Matthaeus et al., 1982; Matthaeus and Goldstein, 1982), so that  $\underline{E}$  becomes

$$E_1 = - \eta \int \frac{k^2 k_j}{B_0 j k_j} H_m(\underline{k}) d^3k \quad (20)$$

To extract from (20) a scalar expression for  $\alpha$  we will assume that in this case the turbulence is isotropic so that  $\alpha_{ij} = \alpha \delta_{ij}$ . Therefore,  $\alpha = E_1 B_{01} / 3 B_0^2$  and we find

$$\alpha_1 = - \frac{\eta}{B_0^2} \int k^2 H_m(\underline{k}) d^3k \quad (21)$$

Using the definition of  $H_j(\underline{k})$ , (21) can be immediately rewritten as

$$\alpha_s = - \frac{\eta}{B_0} H_j \quad (22)$$

This is the result found by Keinigs. We emphasize that the two expressions (18) and (22) for  $\alpha$  are not in general equal. In the first case, it was assumed that the statistics of the velocity field were known. In the second case, the statistics of the magnetic field were assumed given and the velocity fluctuations were found. It is essential to keep in mind that because these derivations are done within the spirit of the (non-self-consistent) kinematic dynamo, there is no a priori reason for the two expressions for  $\alpha$  to be equal. We return to this issue in the next section, but first we show that there are two additional sets of assumptions that can lead to expressions for the alpha effect parameter.

#### The Magnetic and Kinetic Helicities, $H_v$ and $H_b$

In deriving both (18) and (22) we used the fluctuating part of the induction equation (5) to obtain the appropriate relationship between the fluctuating magnetic and velocity fields. There is an alternative possibility, namely to use the fluctuating part of the vorticity equation (7). Then, by solving for either  $\underline{v}$  in terms of  $\underline{b}$ , or vice versa, two new expressions for  $\alpha$  can be found. As we shall see, these new expressions are proportional to either the magnetic helicity, or the kinetic helicity. One proceeds exactly as before. Note that in equation (7) there are two nonlinear " $\Delta$ " terms. In the spirit of this parallel derivation, we assume that these terms can be

neglected, i. e. we extend the concept of first-order smoothing to include neglect of these nonlinearities. It is beyond the scope of this paper to explore the conditions under which this approximation might be valid, but it is expected that they are substantially the same as those necessary to arrive at (18) or (22). Equation (7) then becomes

$$\frac{\partial \omega}{\partial t} = (\underline{B}_0 \cdot \nabla) \underline{J} + v \nabla^2 \omega \quad (23)$$

and the Fourier transform is

$$-i\omega = i(\underline{B}_0 \cdot \underline{k}) \underline{J}(\underline{k}) - vk^2 \omega(\underline{k}) \quad (24)$$

Provided that contributions from potential flow can be neglected, (24) implies that

$$\underline{v}(\underline{k}, \omega) = \frac{i \underline{B}_0 \cdot \underline{k}}{(-i\omega + vk^2)} \underline{b}(\underline{k}, \omega) \quad (25)$$

In general (25) and (11) cannot be simultaneously true which is expected because the first order smoothing approximations are different in the two cases. Proceeding in an exactly analogous way, by substituting first for  $\underline{v}(\underline{k}, \omega)$  and then for  $\underline{b}(\underline{k}, \omega)$  in the Fourier integral expansion of  $\underline{E}$ , and taking the low frequency limit, two more expressions for  $\alpha$  emerge: one involving the magnetic helicity  $H_v$ , and the other involving the kinetic helicity  $H_k = \langle \underline{\omega} \cdot \underline{v} \rangle$

$$\alpha_s = \frac{H_m}{3v} \quad (26)$$

and

$$\alpha_1 = \frac{v}{B_0} \int k^2 H_v(k) d^3k = \frac{v H_k}{B_0} \quad (27)$$

Note that the derivation of (26) directly parallels that of (18) for  $\alpha_1$  and no assumptions need be made about the symmetries of the turbulence. In contrast, the derivation of (27) parallels that of (21) for  $\alpha_2$ , in which it is explicitly assumed that the fluid is isotropic.

We have shown that the alpha effect parameter can be proportional to any of the four helicities derivable from statistics of the magnetic and velocity fields. Which helicity appears is a direct consequence of the assumptions and approximations used in the derivation. The four different expressions for  $\alpha$ , equations (18), (22), (26) and (27), indicate that the lack of mirror symmetry required for a nonzero alpha parameter can take the form of linkages of stream lines, tubes of current, magnetic flux tubes, or vorticity tubes, respectively.

The basic question now arises how one might evaluate any or all of these expressions for  $\alpha$  in an experiment. This is addressed in the next section.

### 3. The Evaluation of Helicity and $\alpha$

It is clear that the first obstacle to determining  $\alpha$  in any particular experimental situation is the necessity of measuring the appropriate helicity. This problem has been treated in some detail for the magnetic helicity by Matthaeus et al. (1982) and Matthaeus and Goldstein (1982) who demonstrated that magnetic helicity can be determined whenever the two-point correlation function of the magnetic field is experimentally available for an appropriately wide range of spatial separations in a single direction. This is not an unusual experimental situation and is found, for example, in wind tunnels or in the interplanetary solar wind, where some version of the G. I. Taylor

"frozen-in-flow" hypothesis (Taylor, 1938) allows interpretation of two-time single point covariances as two-point single time covariances. However, the discussion here will also be relevant to cases in which multiple experimental probes are constrained to have separations in one cartesian direction. The theoretical basis for making this determination is that the antisymmetric part of the energy spectrum tensor depends directly on either  $H_m$  or  $H_v$ . We apply the previous results to the new helicities, and conclude that the velocity helicity can be evaluated in homogeneous turbulence without further specification of spatial symmetry, while the kinetic and current helicities are determinable in isotropic turbulence. The techniques developed here will be expressed in terms of velocity field quantities, but all results apply as well to the analogous magnetic field quantities.

Assume that the correlation function is known for collinear separations in the 1-direction. Following Batchelor (1970) we define the reduced energy spectrum tensor as

$$\begin{aligned}\phi_{1j}^r(k_1) &= (1/2\pi) \int R_{1j}(r_1, 0, 0) e^{-ik_1 r_1} dr_1 \\ &= \int \phi_{1j}(\underline{k}) dk_2 dk_3\end{aligned}\tag{28}$$

Now consider the imaginary part of (28). Because  $\phi_{1j}$  is Hermitian (by the combination of the reality condition  $\phi_{1j}(\underline{k}) = \phi_{1j}^*(-\underline{k})$ , and the homogeneity property  $\phi_{1j}(\underline{k}) = \phi_{j1}(-\underline{k})$ ), the only imaginary part of  $\phi_{1j}$  is an antisymmetric pseudotensor, which has the unique form (16). After integrating over the 2- and 3-directions, (28) becomes

$$\text{Im } \phi_{1j}^r(k_1) = \iint \text{Im } \phi_{1j}(\underline{k}) dk_2 dk_3 = (k_1/2) \iint H_v(\underline{k}) dk_2 dk_3,$$

or

$$H_v^r(k_1) = 2 \operatorname{Im} \phi_{1,1}^r(k_1)/k_1 \quad (29)$$

Finally, the total velocity helicity is obtained by integrating over  $k_1$  with the result

$$H_v = \int H_v(\underline{k}) d^3k = \int H_v^r(k_1) dk_1 \quad (30)$$

Therefore, the velocity helicity is measurable in that its spectrum is contained in the spectral matrix of velocity correlations in one direction. This result is completely equivalent to the results on the magnetic helicity discussed in Matthaeus et al. (1982) and Matthaeus and Goldstein (1982). If the resistivity  $\eta$  is also known,  $\alpha_1$  can be determined via this analysis. Equivalently, knowing  $v$  and  $H_m$ , one can determine  $\alpha_1$ .

The kinetic helicity  $H_k$  (and the current helicity  $H_j$ ) can also be evaluated in similar fashion. However, in this case it is necessary to assume that the turbulence is isotropic in addition to being homogeneous. Recall that

$$\begin{aligned} H_k &= \int k^2 H_v(\underline{k}) d^3k \\ &= \int dk_1 k_1^2 \int \int dk_2 dk_3 H_v(\underline{k}) + \int dk_2 k_2^2 \int \int dk_1 dk_3 H_v(\underline{k}) + \int dk_3 k_3^2 \int \int dk_1 dk_2 H_v(\underline{k}) \end{aligned}$$

Since isotropy is assumed, all three integrals must be exactly equal, and

$$H_k = 6 \int k_1 \operatorname{Im} \phi_{2,2}^r(k_1) dk_1 \quad (31)$$

An exactly analogous result obtains for  $H_j$ . Again, if  $v$  and/or  $\eta$  are known independently, one can evaluate  $\alpha$ , and/or  $\alpha_2$ . Thus, at least for isotropic turbulence, all four helicities can be determined from the reduced power spectrum. In turbulence of arbitrary symmetry,  $H_v$  and  $H_m$  can still be found. These results are summarized in Figure 1 where the relationships between various expressions and the assumptions made in deriving them are indicated.

Numerical methods for constructing the requisite correlation spectra are well established. Two current techniques for constructing these functions are the Blackman-Tukey "mean-lagged-product" (Blackman and Tukey, 1958) and fast Fourier transform (FFT). A comparison of these two methods can be found in Matthaeus and Goldstein (1982) along with several examples illustrating the determination of  $H_m$  from interplanetary magnetic field data. Application of the FFT technique to the determination of the other three helicities using both interplanetary magnetic field and plasma data from the Voyager spacecraft will be reported elsewhere.

#### 4. Conclusions and Summary

Methods for determining the alpha dynamo parameter in homogeneous, incompressible MHD turbulence have been presented. Four distinct helicities of the turbulence have been identified as being related to the alpha dynamo problem. Which helicity is most closely related to  $\alpha$  is completely dependent on the applicability of the approximations made in deriving the alpha effect equation. The recent results of Keinigs (1983) relating  $\alpha$  to the current helicity are therefore not in disagreement with the original derivation by Steenbeck, Krause, and Rädler (1966), reviewed by Moffatt (1978 and 1981), in

which  $\alpha$  was related to a weighted integral of the kinetic helicity spectrum  $H_v(k)$ . That integral of the helicity spectrum is the quantity we have called the velocity helicity in direct analogy to the magnetic helicity. Unlike the kinetic helicity, which is only measurable in isotropic turbulence, the velocity helicity can be measured in MHD turbulence of arbitrary symmetry.

We have presented two new approximations for  $\alpha$ ; one proportional to the kinetic helicity and the second proportional to the magnetic helicity. The particular form for  $\alpha$  most appropriate in a given physical context depends on the validity of the approximations made in each derivation. The two new expressions for  $\alpha$  were derived using a form of the first order smoothing approximation whose general range of validity has not been investigated. In addition, numerical techniques that can be utilized to evaluate  $\alpha$  in MHD turbulent media have been described. These techniques may be useful in experimental situations where two-point collinear covariances are measured and  $\eta$  and  $\nu$  are known. An application of these techniques using magnetic field and fluid velocity data obtained in the solar wind will be presented in a separate publication.

Acknowledgment. This research was supported, in part, by the NASA Solar Terrestrial Theory Program grant to the Goddard Space Flight Center.

References

- Batchelor, G. K. 1970 Theory of Homogeneous Turbulence, Cambridge Univ. Press.
- Keinigs, R. K. 1983 A new interpretation of the alpha effect, Phys. Fluids, 26, 2558.
- Krause, F., Rädler, K. -H. 1980 Mean-field Magnetohydrodynamics and Dynamo Theory, Pergamon.
- Matthaeus, W. H., Goldstein, M. L., Smith, C. W. 1982 Evaluation of magnetic helicity in homogeneous turbulence, Phys. Rev. Lett., 48, 1256.
- Matthaeus, W. H., Goldstein, M. L. 1982 Measurements of the rugged invariants of magnetohydrodynamic turbulence in the solar wind, J. Geophys. Res., 87, 6011.
- Moffatt, H. K. 1978 Magnetic Field Generation in Electrically Conducting Fluids, Cambridge University Press.
- Moffatt, H. K. 1981 Some developments in the theory of turbulence, J. Fluid Mech., 106, 27.
- Parker, E. N. 1955 Hydromagnetic dynamo models, Astrophys. J., 122, 293.
- Steenbeck, M., Krause, F., Rädler, K. -H. 1966 A calculation of mean electromotive force in an electrically conducting fluid in turbulent motion under the influence of Coriolis forces, Z. Naturforsch., 21a, 369.
- Taylor, G. I. 1938 The spectrum of turbulence, Proc. R. Soc. London, A164, 476.
- Turner, Leaf, Christiansen, J. P. 1981 Incomplete relaxation of pinch discharges, Phys. Fluids, 24, 893.

### Figure Caption

A summary of the relationships possible between  $\alpha$  and the four helicities of the turbulent fluid.  $\alpha_1$  is the traditional result,  $\alpha_2$  is the result found by Kainigs (1983). In both cases the first order smoothing approximation is made on the induction equation.  $\alpha_1$  and  $\alpha_2$  are obtained by making the first order smoothing approximation on the vorticity equation. If the dissipation coefficients  $\eta$  and  $\nu$  are known,  $\alpha_1$  and  $\alpha_2$  can be determined in homogeneous (and stationary) turbulence having arbitrary symmetry so long as the two-point correlation function can be measured for collinear separations.  $\alpha_3$  and  $\alpha_4$  can be determined in isotropic turbulence.

# VELOCITY FIELD STATISTICS

# MAGNETIC FIELD STATISTICS

ARBITRARY SYMMETRY

$$\alpha_1 = - \frac{H_V}{3\eta}$$

$$= - \frac{2}{3\eta} \sum_{k_1} \frac{\text{Im } \Phi_{23}^r(k_1)}{k_1}$$

$$\alpha_3 = \frac{H_m}{3\nu}$$

$$= \frac{2}{3\nu} \sum_{k_1} \frac{\text{Im } S_{23}^r(k_1)}{k_1}$$

INDUCTION

EQUATION

ISOTROPIC

$$\alpha_4 = \frac{\nu H_k}{B_0^2}$$

$$= \frac{6\nu}{B_0^2} \sum_{k_1} k_1 \cdot \text{Im } \Phi_{23}^r(k_1)$$

VORTICITY

EQUATION

$$\alpha_2 = - \frac{\eta H_J}{B_0^2}$$

$$= - \frac{6\eta}{B_0^2} \sum_{k_1} k_1 \cdot \text{Im } S_{23}^r(k_1)$$

ORIGINAL PAGE IS  
OF POOR QUALITY